



THIS IS LUMX

EXPERTISE AND INNOVATION IN FINANCIAL SERVICES



Innovation in Asset Management and Risk Services

Integrated offering of investment solutions

LumX Group Limited (“LumX” or “the Group”) is focused on bringing leading investment, risk management and structuring solutions to our primarily institutional client base.

The name LumX is rooted in our deeply held belief that transparency (Lum for *lumière*) is fundamental to implementing investment decisions, and must rest on a clear and reliable understanding of the underlying exposures and risk drivers

Our business today has evolved in response to the demands of our clients, who favour customised solutions and specialised structuring expertise from their business partners. It consists of three distinct but complementary core competencies - asset management, fund structuring (LumMap) and risk management services (LumRisk). Our mission is to offer a full range of integrated investment solutions and services, across multiple asset classes covering traditional and alternative investment strategies.

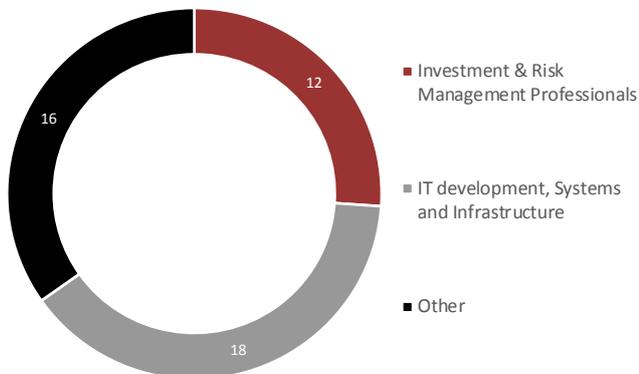


> **LumX** has built its business over many years by applying a highly structured and rigorous investment approach to the task of selecting individual investments and assembling them into customised portfolios that address the specific objectives and constraints of our clients.

> **LumRisk**, an independent Swiss fintech and regtech company and group subsidiary, provides advanced risk aggregation, analysis and reporting services across entire portfolios, including traditional and alternative asset classes, to institutional investors.

> **LumMap** benefits from the Group’s experience in structuring and managing dedicated investment vehicles, meeting regulatory and compliance requirements across multiple jurisdictions in a strong risk-controlled environment, and ensuring fiduciary oversight, segregation of assets, and enhanced transparency on client investments.

Distribution of people by function¹



¹The staff figures are an average full time equivalent number of employees, excluding the four non-executive directors of the Group.

²LumX Asset Management (UK) Limited is authorised as an alternative investment fund manager under the Alternative Investment Fund Managers Directive.

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